

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:

SANTANDER INVESTMENT SECURITIES INC.

as of: 09/30/2021

**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

| | | | | |
|---|---|------------------|------|--|
| 1. Net ledger balance | | | | |
| A. Cash | | \$ 2,804,689,937 | 7010 | |
| B. Securities (at market) | | 0 | 7020 | |
| 2. Net unrealized profit (loss) in open futures contracts traded on a contract market | | (746,736,887) | 7030 | |
| 3. Exchange traded options | | | | |
| A. Add market value of open option contracts purchased on a contract market | | 61,649,204 | 7032 | |
| B. Deduct market value of open option contracts granted (sold) on a contract market | | (33,599,424) | 7033 | |
| 4. Net equity (deficit) (add lines 1, 2 and 3) | | 2,086,002,830 | 7040 | |
| 5. Accounts liquidating to a deficit and accounts with debit balances | | | | |
| - gross amount | 0 | | 7045 | |
| Less: amount offset by customer securities | 0 | | 7047 | |
| | | 0 | 7050 | |
| 6. Amount required to be segregated (add lines 4 and 5) | | \$ 2,086,002,830 | 7060 | |

FUNDS IN SEGREGATED ACCOUNTS

| | | | | |
|---|--|---------------|------|--|
| 7. Deposited in segregated funds bank accounts | | | | |
| A. Cash | | 202,863,981 | 7070 | |
| B. Securities representing investments of customers' funds (at market) | | 0 | 7080 | |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | | 0 | 7090 | |
| 8. Margins on deposit with derivatives clearing organizations of contract markets | | | | |
| A. Cash | | 1,947,278,333 | 7100 | |
| B. Securities representing investments of customers' funds (at market) | | 0 | 7110 | |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | | 0 | 7120 | |
| 9. Net settlement from (to) derivatives clearing organizations of contract markets | | (19,968,988) | 7130 | |
| 10. Exchange traded options | | | | |
| A. Value of open long option contracts | | 61,649,204 | 7132 | |
| B. Value of open short option contracts | | (33,599,424) | 7133 | |
| 11. Net equities with other FCMs | | | | |
| A. Net liquidating equity | | 0 | 7140 | |
| B. Securities representing investments of customers' funds (at market) | | 0 | 7160 | |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | | 0 | 7170 | |
| 12. Segregated funds on hand (describe:) | | 0 | 7150 | |
| 13. Total amount in segregation (add lines 7 through 12) | | 2,158,223,106 | 7180 | |
| 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) | | \$ 72,220,276 | 7190 | |
| 15. Management Target Amount for Excess funds in segregation | | 70,000,000 | 7194 | |
| 16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess | | 2,220,276 | 7198 | |

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**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
 FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS**

| | | | | |
|----|--|------|------|------|
| 1. | Amount required to be segregated in accordance with Commission regulation 32.6 | | \$ 0 | 7200 |
| 2. | Funds in segregated accounts | | | |
| | A. Cash | \$ 0 | | 7210 |
| | B. Securities (at market) | 0 | | 7220 |
| | C. Total | | 0 | 7230 |
| 3. | Excess (deficiency) funds in segregation (subtract line 1. from line 2.C.) | | \$ 0 | 7240 |

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation
of a foreign government or a rule of a self-regulatory organization
authorized thereunder

| | | | |
|---|------|------|--|
| | \$ 0 | 7305 | |
| 1. Net ledger balance - Foreign Futures and Foreign Options Trading - All Customers | | | |
| A. Cash | \$ 0 | 7315 | |
| B. Securities (at market) | \$ 0 | 7317 | |
| 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | \$ 0 | 7325 | |
| 3. Exchange traded options | | | |
| A. Market value of open option contracts purchased on a foreign board of trade | \$ 0 | 7335 | |
| B. Market value of open option contracts granted (sold) on a foreign board of trade | \$ 0 | 7337 | |
| 4. Net equity (deficit) (add lines 1, 2, and 3) | \$ 0 | 7345 | |
| 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount | \$ 0 | 7351 | |
| Less: amount offset by customer owned securities | \$ 0 | 7352 | |
| 6. Amount to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | \$ 0 | 7355 | |
| 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | \$ 0 | 7360 | |

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

| | | | | |
|--|------|------|------|------|
| 1. Cash in banks | | | | |
| A. Banks located in the United States | | \$ 0 | 7500 | |
| B. Other banks qualified under Regulation 30.7 | | | | |
| Name(s): _ | 7510 | 0 | 7520 | \$ 0 |
| | | | | 7530 |
| 2. Securities | | | | |
| A. In safekeeping with banks located in the United States | | \$ 0 | 7540 | |
| B. In safekeeping with other banks qualified under Regulation 30.7 | | | | |
| Name(s): _ | 7550 | 0 | 7560 | 0 |
| | | | | 7570 |
| 3. Equities with registered futures commission merchants | | | | |
| A. Cash | | \$ 0 | 7580 | |
| B. Securities | | 0 | 7590 | |
| C. Unrealized gain (loss) on open futures contracts | | 0 | 7600 | |
| D. Value of long option contracts | | 0 | 7610 | |
| E. Value of short option contracts | | 0 | 7615 | 0 |
| | | | | 7620 |
| 4. Amounts held by clearing organizations of foreign boards of trade | | | | |
| Name(s): _ | 7630 | | | |
| A. Cash | | \$ 0 | 7640 | |
| B. Securities | | 0 | 7650 | |
| C. Amount due to (from) clearing organization - daily variation | | 0 | 7660 | |
| D. Value of long option contracts | | 0 | 7670 | |
| E. Value of short option contracts | | 0 | 7675 | 0 |
| | | | | 7680 |
| 5. Amounts held by members of foreign boards of trade | | | | |
| Name(s): _ | 7690 | | | |
| A. Cash | | \$ 0 | 7700 | |
| B. Securities | | 0 | 7710 | |
| C. Unrealized gain (loss) on open futures contracts | | 0 | 7720 | |
| D. Value of long option contracts | | 0 | 7730 | |
| E. Value of short option contracts | | 0 | 7735 | 0 |
| | | | | 7740 |
| 6. Amounts with other depositories designated by a foreign board of trade | | | | |
| Name(s): _ | 7750 | | | 0 |
| | | | | 7760 |
| 7. Segregated funds on hand (describe): _ | | | | 0 |
| | | | | 7765 |
| 8. Total funds in separate section 30.7 accounts | | | | \$ 0 |
| | | | | 7770 |
| 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | | | | 0 |
| | | | | 7380 |
| 10. Management Target Amount for Excess funds in separate section 30.7 accounts | | | | 0 |
| | | | | 7780 |
| 11. Excess (deficiency) funds in separate section 30.7 accounts over (under) Management Target Amount | | | | 0 |
| | | | | 7785 |

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as of: 09/30/2021

**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

| | | | | |
|---|------|------|------|------|
| 1. Net ledger balance | | | | |
| A. Cash | | \$ 0 | 8500 | |
| B. Securities (at market) | | 0 | 8510 | |
| 2. Net unrealized profit (loss) in open cleared swaps | | 0 | 8520 | |
| 3. Cleared swaps options | | | | |
| A. Market value of open cleared swaps option contracts purchased | | 0 | 8530 | |
| B. Market value of open cleared swaps granted (sold) | | 0 | 8540 | |
| 4. Net equity (deficit) (add lines 1, 2 and 3) | | 0 | 8550 | |
| 5. Accounts liquidating to a deficit and accounts with debit balances | | | | |
| - gross amount | \$ 0 | 8560 | | |
| Less: amount offset by customer securities | 0 | 8570 | 0 | 8580 |
| 6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) | | | 0 | 8590 |

Funds in Cleared Swaps Customer Segregated Accounts

| | | | | |
|---|--|------|------|------|
| 7. Deposited in cleared swaps customer segregated accounts at banks | | | | |
| A. Cash | | \$ 0 | 8600 | |
| B. Securities representing investments of cleared swaps customers' funds (at market) | | 0 | 8610 | |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market) | | 0 | 8620 | |
| 8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts | | | | |
| A. Cash | | 0 | 8630 | |
| B. Securities representing investments of cleared swaps customers' funds (at market) | | 0 | 8640 | |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market) | | 0 | 8650 | |
| 9. Net settlement from (to) derivatives clearing organizations | | | 0 | 8660 |
| 10. Cleared swaps options | | | | |
| A. Value of open cleared swaps long option contracts | | 0 | 8670 | |
| B. Value of open cleared swaps short option contracts | | 0 | 8680 | |
| 11. Net equities with other FCMs | | | | |
| A. Net liquidating equity | | 0 | 8690 | |
| B. Securities representing investments of cleared swaps customers' funds (at market) | | 0 | 8700 | |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market) | | 0 | 8710 | |
| 12. Cleared swaps customer funds on hand (describe:) | | | 0 | 8715 |
| 13. Total amount in cleared swaps customer segregation (add lines 7 through 12) | | | 0 | 8720 |
| 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) | | \$ 0 | 8730 | |
| 15. Management target Amount for Excess funds in cleared swaps segregated accounts | | \$ 0 | 8760 | |
| 16. Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess | | \$ 0 | 8770 | |