

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER SANTANDER INVESTMENT SECURITIES INC.	as of <u>09/30/21</u>
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STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash	\$	2,804,689,937	7010
B. Securities (at market)		0	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		(746,736,887)	7030
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market		61,649,204	7032
B. Deduct market value of open option contracts granted (sold) on a contract market	(33,599,424)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)		2,086,002,830	7040
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount		0	7045
Less: amount offset by customer owned securities	(0)	7047
6. Amount required to be segregated (add lines 4 and 5)		\$ 2,086,002,830	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		202,863,981	7070
B. Securities representing investments of customers' funds (at market)		0	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7090
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	\$	1,947,278,333	7100
B. Securities representing investments of customers' funds (at market)		0	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		7120	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		(19,968,988)	7130
10. Exchange traded options			
A. Value of open long option contracts		61,649,204	7132
B. Value of open short option contracts	(33,599,424)	7133
11. Net equities with other FCMs			
A. Net liquidating equity		7140	7140
B. Securities representing investments of customers' funds (at market)		0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7170
12. Segregated funds on hand (describe: _____)			7150
13. Total amount in segregation (add lines 7 through 12)		2,158,223,106	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)		\$ 72,220,276	7190
15. Management Target Amount for Excess funds in segregation		\$ 70,000,000	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess		\$ 2,220,276	7198